

Rules and Regulations 2016



Introduction

The 23rd Annual Screen Actors Guild Awards ceremony is scheduled to be telecast live on the TNT and TBS Television Networks during primetime on Sunday, January 29, 2017.

We encourage all entrants to read these official Rules and Regulations for calendar year 2016 in their entirety prior to filling out the submission forms.

Please contact the Screen Actors Guild Awards office with questions on clarification of rules and regulations. Final interpretation and judgment of all rules and regulations, eligibility and submissions, shall be made by the Screen Actors Guild Awards Committee ("Awards Committee").

Screen Actors Guild Awards, LLC ("SAG Awards") is a whollyowned subsidiary of Screen Actors Guild-American Federation of Television and Radio Artists ("SAG-AFTRA" or "Union"). Membership dues are not used to conduct or produce the Screen Actors Guild Awards.

SAG-AFTRA

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Table of Contents

General Timetable	3
The Annual Awards	4
Life Achievement Award	5
Awards Year and Eligibility	5
Submissions	8
Rules Governing Voting	14
General Rules	18
Rules Governing Campaigning to SAG-AFTRA Members	20
Member Rules Governing Screeners	25
Rules Governing Conflicts of Interest	26
Rules Governing Life Achievement Award	28
Non-Broadcast Honors (Stunt Ensembles)	29



23rd ANNUAL SCREEN ACTORS GUILD AWARDS®

For Performances in 2016

General Timetable

Friday, Feb. 26, 2016	Deadline for paying Nov. 2015 Dues to be eligible for Nominating Committee random sampling
Thursday, Mar. 3, 2016	Nominating Committees drawn by random sample
Friday, April 8, 2016	Deadline for Nominating Committee members to opt-in
Monday, July 11, 2016	Submissions open
Monday, Oct. 24, 2016	Submissions close at 5 p.m. PT
Monday, Nov. 7, 2016	Publicists nominations credentials applications open
Thursday, Nov. 17, 2016	Nominations balloting opens
Thursday, Dec. 1, 2016	Deadline for paying Nov. 2016 dues and/or changing address with SAG-AFTRA to be eligible for final balloting
Monday, Dec. 5, 2016	Publicists nominations credentials applications close
Thursday, Dec. 8, 2016	Records pulled for final balloting
Sunday, Dec. 11, 2016	Nominations balloting closes at 5 p.m. PT
Wednesday, Dec. 14, 2016	Nominations announced
Thursday Dec. 15, 2016	Publicists ceremony credentials applications open
Monday, Dec. 19, 2016	Final voting opens
Monday, Jan. 9, 2017	Publicists ceremony credentials applications close
Friday, Jan. 27, 2017	Final voting closes at 12 noon PT
Sunday, Jan. 29, 2017	23rd Annual Screen Actors Guild Awards®



Rules and Regulations 23rd Annual Screen Actors Guild Awards for Performances During 2016

ONE THE ANNUAL AWARDS

Awards for Outstanding Performances in Acting shall be given in the following categories:

THEATRICAL MOTION PICTURES

Outstanding Performance by a Male Actor in a Leading Role
Outstanding Performance by a Female Actor in a Leading Role
Outstanding Performance by a Male Actor in a Supporting Role
Outstanding Performance by a Female Actor in a Supporting Role
Outstanding Performance by a Cast in a Motion Picture

TELEVISION, CABLE and NEW MEDIA PROGRAMS

Outstanding Performance by a Male Actor in a Television Movie or Limited Series Outstanding Performance by a Female Actor in a Television Movie or Limited Series

Outstanding Performance by a Male Actor in a Drama Series Outstanding Performance by a Female Actor in a Drama Series Outstanding Performance by a Male Actor in a Comedy Series Outstanding Performance by a Female Actor in a Comedy Series Outstanding Performance by an Ensemble in a Drama Series Outstanding Performance by an Ensemble in a Comedy Series



TWO THE LIFE ACHIEVEMENT AWARD

THE SCREEN ACTORS GUILD LIFE ACHIEVEMENT AWARD

Nominated and voted on by the Union's National Honors and Tributes Committee, the Screen Actors Guild Life Achievement Award is bestowed for outstanding achievement in fostering the finest ideals of the acting profession. The recipient of this award is a well-established performer who has contributed to improving the image of the acting profession and has a history of active involvement in humanitarian and public service endeavors. For Rules Governing the Life Achievement award, see section TEN.

THREE AWARDS YEAR AND ELIGIBILITY

- Screen Actors Guild Awards shall be given for Outstanding Performances in Acting in theatrical motion pictures, primetime television, cable, and new media programs.
- Motion pictures, television, cable and new media programs exhibited or broadcast during the 2016 calendar year shall be eligible for nomination.
- 3. Motion pictures must be feature-length (over 60 minutes in running time), and must be first publicly exhibited (previews excluded) by means of 35mm or 70mm film or in a 24- or 48-frame progressive scan Digital Cinema format (minimum native resolution 2048 by 1080 pixels, with pixel bit depth, color primaries, and image and sound file formats suitable for exhibition in commercial Digital Cinema sites) before a paying audience in a commercial motion picture house in Los Angeles County for at least a seven-day consecutive run commencing in calendar year 2016, following normal exploitation and advertising consistent with the treatment of the producer's other pictures. If the motion picture has been theatrically exhibited elsewhere prior to its qualifying theatrical exhibition in Los Angeles County, it shall be eligible if the prior exhibition occurred after January 1, 2015. If the motion picture has been



previewed on cable television after January 1, 2015 and prior to its qualifying theatrical exhibition in Los Angeles County, it will be considered eligible in the year of its qualifying theatrical release provided it has not been submitted in a previous year as a television film.

- 4. Short films (60 minutes or less in running time) and documentaries are not eligible.
- 5. Any eligible motion picture with a day and date release in theaters and on video on demand ("VOD"), or other new media platform, may only be submitted as a Theatrical Motion Picture.
- 6. Television and cable programs must have first aired in the United States in primetime (defined as between 8PM and 11PM Monday through Saturday and 7PM to 11PM Sunday) and in the case of new media programs must have first been exhibited on a recognized new media platform in the United States in the eligible calendar year and share the traditional primetime format relevant to all programs eligible for nomination:
 - Comedy series: a 30 minute scripted program (with a minimum 21-23 minutes of actual programming content) with an ongoing theme and storyline in a minimum of six continuous episodes* (reducible to a storyline of four continuous episodes in the cases in which the series is subject to a "short order"). In order for a sketch comedy program to be considered, content must be 100% scripted with recurring characters appearing in sketches within the eligible season.
 - Drama series: a 60 minute scripted program (with a minimum of 42-48 minutes of actual programming content) with an ongoing theme and storyline in a minimum of six continuous episodes* (reducible to a storyline of four continuous episodes in the cases in which the series is subject to a "short order").
 - Television movie: a scripted single closed-end program which tells a story with a beginning, middle and end, with a minimum duration of primarily 90 minutes; or Limited Series, a single title, multi-part closed-end program based

on a single theme or storyline which is resolved within the piece in two or more installments, with a minimum duration of primarily 150 minutes. Series with four or fewer installments in a calendar year may be considered in the Limited Series category. Any television movie or limited series previously submitted as a theatrical motion picture is not eligible.

- 7. Motion pictures, television, cable and new media productions made within SAG-AFTRA's jurisdiction must be signatory to a Screen Actors Guild ("SAG") or American Federation of Television and Radio Artists ("AFTRA"), or a SAG-AFTRA collective bargaining agreement. Motion pictures, television, cable or new media productions made outside the United States in which a SAG-AFTRA member is employed are eligible provided SAG-AFTRA determines that the member's employment is covered by a SAG or AFTRA contract in accordance with Global Rule 1 or by a contract that accords the member the material terms and conditions of the applicable SAG or AFTRA collective bargaining agreement. Films need not be in English. SAG-AFTRA's determination as to signatory status and covered work shall be final and non-reviewable.
- 8. The Awards Committee's determination as to eligibility shall be final and non-reviewable.

^{*}The Awards Committee will consider the eligibility of a television series in its final season if under six original episodes air or are exhibited in a calendar year.

FOUR SUBMISSIONS

- 1. Eligibility for consideration for Screen Actors Guild Awards will be limited to performances in theatrical motion pictures and primetime television, cable television, and new media programs which have met the requirements of Section Three: Awards Year & Eligibility, and for which an official Screen Actors Guild Awards online submission form, along with the appropriate submission fee (see paragraph 5 in this section), has been accepted by Screen Actors Guild Awards via our online submissions application.
- Submissions open July 11, 2016 and close October 24, 2016 at 5pm PT.
- 3. Submissions will be accepted online only and may be authorized by the actor, actor's publicist, agent, manager, representative, a film's producer or distributor or a production company, network or studio executive, provided that permission has been given by the actor and that the person making such submission has the authority to give permission for use of the actor's likeness as specified in paragraph 17. A legally authorized representative may make an entry on behalf of a deceased performer provided that the person making such submission has the authority to give permission for use of the actor's likeness as specified in paragraph 17.

The following must be included on all submissions:

- (a) Full and complete name of actor(s) as listed in the film's credits.
- (b) Category in which the submission is to be placed.
- (c) Full title of motion picture, television, cable, or new media program.
- (d) Full name of production company or distributor (as listed in credits) responsible for the film or program, along with a contact name, address, email, phone and fax number.
- (e) For motion pictures, the name and address of the Los Angeles County theater in which the theatrical motion picture had its qualifying run, and the release date.

- (f) For primetime television and cable programs, the name and address of the network, or cable channel, on which the television or cable program was first transmitted. For new media programs, the name and address of the distribution entity on which the new media platform is exhibited.
- (g) For broadcast network, cable television and new media series, the date and time of the first broadcast or exhibition in 2016, the number of first-run episodes aired; transmitted; and for made for television movies or miniseries, the first broadcast date and time.
- (h) Name, address, email, phone, fax number and electronic signature of person placing submission, which will serve as a statement acknowledging that the actor has agreed to the submission and has given his or her permission to SAG-AFTRA, SAG Awards, producers of the Awards Show and the Networks to use his or her likeness in any promotional activity.
- (i) Name, email and phone number of personal publicist (or other contact) for actor.
- (j) Name, email and phone number of photo contact for film, television or new media program.
- (k) Photo of actor or cast/ensemble; Title art for stunt ensembles

PHOTO REQUIREMENTS

Must be a hi-res (min. 1800 pixels on the longest side; 300 dpi) color .jpg, .png or .tiff file.

In-character photos are required. However, if the actor is not recognizable in costume, please submit a non-character photo.

You must provide a URL for your photo download site or upload photos via our online submission site in order to submit. If uploading photos to our site, please label your files "Title_ActorName". Please also provide a photo credit and copyright information.

Photos will be used at the televised nominations announcement as well as for telecast advertising/promotion, the ceremony program book and the SAG Awards website.

Film

- Individuals: Still from the film, with only the submitted actor pictured.
- Cast: One Sheet/Key Art (without advertising, laurels, quotes, etc.).

Television, Cable and New Media

- Individuals: Gallery photo or in-character portrait of submitted actor.
- Ensembles: Gallery cast photo and Title Art/Logo (without advertising).

Stunt Ensembles require only Title Art/Logo.

- 4. A DVD must accompany motion picture cast and TV movie/ limited series submissions. The SAG Awards will accept legal credits if a DVD is not available by the close of the submission period. However, a DVD of the project must be provided no later than Dec. 30, 2016. Television comedy and drama ensemble submissions require the legal credits for each eligible episode in the calendar year.
- Submissions for nomination must be accompanied by a onetime, non-refundable processing fee (Check, Credit Card or Money Order) as follows:
 - Individual Performances: \$100.00
 - Television, Cable and New Media Ensembles: \$1,200.00 for up to 15 actors per entry*
 - Motion Picture Casts: \$1,200.00 for up to 15 actors per entry*

*Ensembles and Casts with more than 15 actors will be charged an additional \$100 for each performer submitted above the 15 actor threshold. Eligibility requirements for actors to be included in Casts and Ensembles can be found in paragraphs 6 (Motion Picture Casts) and 7 (Television Ensembles) below.

- 6. <u>CAST ELIGIBILITY</u>: "A Cast in a Motion Picture" includes all actors whose names appear in the cast credits of the final release print. Motion Picture Casts shall be represented by those actors billed on separate cards in the main titles, wherever those titles appear. In cases of special, unusual or non-billing or credit, eligibility shall be at the sole discretion of the Awards Committee. Members of the cast who are not single card billed but are credited in the cast crawl of the motion picture announced as the recipient of the Outstanding Performance by a Cast in a Theatrical Motion Picture shall each receive a certificate.
- ENSEMBLE ELIGIBILITY: "A Television, Cable or New Media 7. Ensemble" shall be defined as those actors who 1) have appeared in more than half of the episodes originally aired during the eligible calendar year; and 2) have a series regular contract or guest star billing in a majority of episodes they appear in. In the event that an actor joins a series during the fall season of the calendar year — the second of the two television seasons falling in the Awards period — the actor may be deemed eligible if the actor appeared in the majority of original episodes aired during that fall season. Determination of what constitutes a majority of original episodes shall be at the sole discretion of SAG Awards. Actors who do not meet the eligibility requirements, as part of the ensemble announced as the Outstanding Performance by an Ensemble, but who appeared in three or more episodes of that show in a calendar year are eligible to receive a certificate.
- 8. There is no episode minimum requirement for a performance to be submitted in an individual Television category.
- 9. Submissions will not be accepted for the same performance in more than one category. However, a performance submitted in an individual category may also be eligible as part of a television, cable, new media ensemble or motion picture cast, per requirements in paragraphs 6 and 7 above.
- 10. If more than one submission is received for the same performance, whether individual, ensemble, or cast, the first submission received will be processed and all subsequent submissions will be returned. Submission fees may be paid by check or money order, or by Visa, MasterCard, American Express only or secure online payment service.

- 11. All eligible performances will be listed in either the Screen Actors Guild Awards "Theatrical Motion Picture Committee Eligibility Booklet" or "Television Committee Eligibility Booklet." Only performances listed in the Eligibility Booklets will be counted in the nominations balloting.
- 12. Correct entry information is the responsibility of the entrant. SAG-AFTRA and SAG Awards assume no responsibility for any error or omission and shall have no liability to anyone. SAG Awards is not liable for incorrect ballot listings that are the result of incorrect information on the entry forms. The Awards Committee shall be the sole judge of whether a performance satisfies the eligibility requirements.
- 13. If the Awards Committee deems there are insufficient numbers of submissions in any category, the Awards Committee reserves the right to review that category for nomination eligibility. Any decision made by the Awards Committee shall be final and binding.
- 14. Official Performance Submissions will be accepted online by SAG Awards prior to the qualifying release date. However, all submissions are due online before deadline.
- 15. Ineligible entries can be disqualified at any stage.
- 16. The person or persons submitting a performance for Screen Actors Guild Awards consideration shall, if nominated for an award, exercise best efforts to assist SAG-AFTRA and SAG Awards in obtaining, without charge to SAG-AFTRA or SAG Awards, a license to select and use in the Awards Show for domestic and international broadcast, excerpts from the film or program which illustrate the nominated performance and any clearances for any and all materials pertaining to the film or programs necessary to produce and promote the Awards Show.
- 17. In agreeing to be submitted for eligibility and possible nomination, the actor gives his or her permission to SAG-AFTRA, SAG Awards, the producers of the Awards Show and the Networks to use his or her name, voice, image, photograph, likeness, and biographical information in any

promotional activity for the Awards Show and any future shows. If the actor's nomination has been submitted by someone other than the actor, the person making the submission represents and warrants that the actor has authorized the submission and has granted such permission. The person making the submission further agrees to indemnify, defend, and hold harmless SAG-AFTRA, SAG Awards, the producers of the Awards Show and the Networks from and against any claims arising out of the submission or any present or future use of the actor's name, voice, image, photograph, likeness and/or biographical information in connection with the Awards Show or any future shows.

- A performance submitted for Screen Actors Guild Awards consideration may not be withdrawn after the submission deadline.
- These awards shall be conferred at an Awards Presentation ceremony, tentatively scheduled to be telecast on the TNT and TBS television networks ("Networks") during primetime, Sunday, January 29, 2017.
- 20. Petitions with respect to submission eligibility may be considered by the Awards Committee. Petitions shall be submitted in writing to the Awards Office detailing the basis for the petitions and the facts upon which the company contends supports its position. Final judgment shall be made by the Awards Committee and determination shall be final and non-reviewable.



FIVE RULES GOVERNING VOTING

Nominations Voting

- 1. To be entered in any of the random samplings for the 23rd Annual SAG Awards Nominating Committees, members must have paid their dues in full for the semi-annual dues period ending April 30, 2016 (the Nov. 2015 billing) by Friday, Feb. 26, 2016; reside in the United States; be over 16 years of age; be categorized as actor/performer, dancer, singer or stunt person in the SAG-AFTRA member database. Theatrical Motion Picture Nominating Committee members must not have not served on the theatrical nominating committee in the past eight years; and must be members of one of the following two groups: a) rank and file members; b) past recipients of nominations in corresponding acting categories from the Screen Actors Guild Awards, Academy of Television Arts and Sciences, the Golden Globe Awards, as well as recipients of nominations and members of the Actors Branch of the Academy of Motion Picture Arts and Sciences. Television Nominating Committee members must not have not served on the television nominating committee in the past eight years and must be rank and file members. Members must agree to serve. Only members notified they were selected and who have confirmed to serve on the 23rd Annual SAG Awards Nominating Committees may represent themselves as nominating committee ("SAG Awards Nom Comm") members. Service on the nominating committees is deemed a privilege and is revocable.
- 2. The random samplings took place on March 3, 2016 from the membership databases. Names and addresses of members who are randomly selected to participate in nominations voting are considered confidential and will not be distributed to any parties outside of SAG-AFTRA or SAG Awards, the elections firm and the mailing houses contracted to mail SAG-AFTRA material. Promotional material which has been approved by the Awards Committee will be sent to nominating committee members through the SAG-AFTRA mailing houses.

- 3. Members randomly selected to serve on the Motion Picture and Television Nominating Committees were notified and asked to confirm participation by April 8, 2016. Members who confirmed participation must be active and in good standing in order to serve through the nominations period. Any member who did not respond or declines to participate may be replaced by an alternate member drawn at random.
- 4. The members selected in accordance with the foregoing procedure shall nominate no more than five nominations in each category, unless there is a tie or ties, which make an additional nomination or nominations necessary.
- Members who are not notified that they were selected and confirmed to serve on the 23rd Annual SAG Awards Nominating Committees ("SAG Awards Nom Comm") may not represent that they are "SAG Awards Nom Comm" members.
- 6. Nomination ballots for motion pictures along with the "Theatrical Motion Picture Committee Eligibility Booklet" will be mailed to members of the Theatrical Motion Picture Committee who will vote on all delineated categories listed in section 1 of the SCREEN ACTORS GUILD AWARDS Rules and Regulations 2016 under the header: THEATRICAL MOTION PICTURES.
- 7. Nomination ballots for television, cable and new media programs along with the "Television Committee Eligibility Booklet" will be mailed to members of the Television Committee who will vote on all delineated categories listed in section 1 of the SCREEN ACTORS GUILD AWARDS Rules and Regulations 2016 under the header: TELEVISION, CABLE and NEW MEDIA PROGRAMS.
- 8. All eligible performances will be listed in alphabetical order by the actor's last name. Television, Cable and New Media ensemble casts and Theatrical Motion Picture casts will be listed in alphabetical order by show title and then alphabetically by the actor's last name.
- In the event that different performances by the same actor receive sufficient votes to be nominated in the same category, only the performance receiving the highest number of votes

shall be a nominee for an award. That actor's remaining performance(s) shall be deemed ineligible in that category, and the actor with the next highest number of votes shall become the next nominee.

- 10. In the event an actor receives a sufficient number of votes to be nominated for one performance in one category and for another performance in another category, the actor shall be eligible for an award in both categories.
- 11. In the event a nominated performance is declared ineligible by the Awards Committee, it shall be replaced by the performance which received the next highest number of votes in nominations balloting.
- 12. The five performances receiving the highest number of votes in each category on the nominations ballots will become the five nominees for that category and placed on the final ballot. No write-in votes shall be counted.
- 13. In the event of a tie in nominations balloting receiving the five highest votes, awards shall be given for all performances so tied.
- 14. Nominations balloting information shall be supplied by SAG Awards. Balloting will be accepted online or by mail. Votes shall be counted by the elections firm.
- 15. Voting and eligibility to receive For Your Consideration material is deemed a privilege and confidential.

Final Voting

- Final voting for awards shall be restricted to active members in good standing of SAG-AFTRA who reside in the US and Canada and have paid their dues in full by December 1, 2016. SAG Awards determination as to eligibility shall be final and non-reviewable.
- Final ballot information shall be supplied by SAG Awards and mailed to members' addresses as they are listed with SAG-AFTRA. Balloting will be accepted online or by mail. Votes shall be counted by the elections firm.

- 3. All nominees will be listed in alphabetical order by the actor's last name. Nominated Television, Cable and New Media ensemble casts and Theatrical Motion Picture casts will be listed in alphabetical order by show title and then alphabetically by the actor's last name.
- 4. The performance receiving the highest number of votes on the final ballots will become the recipient of the award in that category. No write-in votes shall be counted.
- 5. In the event of a tie for first place in final balloting, awards shall be given for all performances so tied.
- Active, paid-up members residing outside of the US and Canada may request to vote online but will not be eligible to receive For Your Consideration material.
- 7. Voting and eligibility to receive For Your Consideration material is deemed a privilege and confidential, and are revocable. Approved material will be sent from the Union mailing house to the member's address on file with SAG-AFTRA.
- 8. Eligible members under the age of 13 may be required to submit parental/guardian consent in order to access For Your Consideration content online.



SIX GENERAL RULES

1. The Screen Actors Guild Award, *The Actor®*, is the property of, and all rights thereto are reserved by, SAG-AFTRA. The Actor statuette may not be reproduced or used in any commercial manner unless otherwise permitted by SAG-AFTRA. Possession of The Actor is solely for the honor of the recipient and is restricted to the recipient, the recipient's spouse, the recipient's domestic partner, the recipient's children or SAG-AFTRA. (The recipient's spouse, domestic partner, and children are referred to as "Permitted Donees.") Receipt and possession of *The Actor* statuette is conditioned upon execution of a written receipt and agreement by the recipient or, if the recipient is a minor, by the recipient's parent or legal quardian. Transfer of The Actor to a Permitted Donee shall not be valid unless the Permitted Donee, or, if the Permitted Donee is a minor, the Permitted Donee's parent or legal guardian, agrees in writing not to transfer The Actor to any person other than SAG-AFTRA. Any transfer of The Actor other than as hereby permitted violates SAG-AFTRA's property rights and is prohibited.

SAG-AFTRA reserves the right to maintain possession of any statuette on a recipient's behalf. If any recipient or Permitted Donee is a minor, continued possession of *The Actor* upon attaining the age of majority shall be conditioned upon the execution by the recipient or Permitted Donee of a written receipt and agreement.

- 2. The Screen Actors Guild Awards®, SAG Awards® and The Actor®, and "I am an Actor" are trademarks and service marks of SAG-AFTRA and may not be used except in accordance with our guidelines or with special written permission. Guidelines can be requested from the Awards office or are available on the Screen Actors Guild Awards website.
- Members may not contact studios directly except to RSVP to screenings. All other requests or questions regarding nomination screenings or mailings must be directed to the Screen Actors Guild Awards office.

- 4. SAG-AFTRA members invited to attend film screenings will behave in a professional and courteous manner. Any violation of the rules or transgression of decorum will be subject to review by the Awards Committee, which has authority to remove the member from present and future nominating committees, final voting eligibility, eligibility to receive For Your Consideration materials, and to recommend that a member be brought up on disciplinary charges.
- 5. Any violation of the rules or transgression of decorum will be subject to review by the Awards Committee, which has authority to remove the member from present and future nominating committees, final voting eligibility, eligibility to receive For Your Consideration materials, and to recommend that a member be brought up on membership discipline charges.
- 6. Attendance at the Screen Actors Guild Awards and related events is by invitation only. SAG-AFTRA, SAG Awards and their agents reserve the right to refuse admittance to anyone.
- 7. Studios, distributors, and networks submitting their projects will grant rights to show clips of nominated films and television programs both domestically and internationally.
- 8. The Awards Office is available to consult on any questions that may arise with respect to the Rules and Regulations.
- 9. Petitions with respect to general rules may be considered by the Awards Committee. Petitions shall be submitted in writing to the Awards Office detailing the basis for the petitions and the facts upon which the company contends supports its position. Final judgment shall be made by the Awards Committee and determination shall be final and non-reviewable.
- 10. No dues money is spent on the SAG Awards.



SEVEN RULES GOVERNING CAMPAIGNING TO SAG-AFTRA MEMBERS

- Studios, producers, distributors, marketers, agents, and/or other related entities or individuals ("Companies") that promote any actors, films and/or television, cable television, or new media programs submitted for Screen Actors Guild Awards consideration ("submitted performances") are strictly prohibited from communicating with SAG-AFTRA members regarding submitted performances without prior authorization from SAG Awards.
- 2. The Screen Actors Guild Awards Office must be contacted to schedule, coordinate and approve any and all communications directed to SAG-AFTRA members regarding submitted performances. "Communications" shall include, but are not limited to, mailings, e-mail, screeners (DVD or Digital), screenings, promotional items, advertising, directing SAG Awards voting information to members, solicitations, confirming receipt of a screener and contacting members by phone.
- 3. All communications are subject to the approval of SAG Awards and SAG Awards reserves the right to limit, restrict or prohibit communications.
- 4. When scheduling screenings that will include talent question and answer sessions ("Q&A's"), the Awards Office will consider requests from Companies to hold a particular date, while in the "pre-nom" phase of the SAG Awards (May 1, 2016 December 11, 2016). A "hold" is not a booked reservation and only gives a right of first refusal to the Company that placed the hold. In the event the Awards Office receives a screening inquiry for a date on which a hold is placed (a "challenge"), the Company that requested the hold will be contacted and have twenty-four (24) hours from notification to either book or release the date. Companies may place holds on up to four (4) consecutive days for screenings of the same title in any one (1) city.
- 5. During the "pre-nom" phase of the SAG Awards (May 1, 2016 December 11, 2016), Companies may only book an aggregate of four (4) Q&A's for the same title in Los Angeles and New

York. For example, a company may book three (3) Q&A's in Los Angeles and one (1) Q&A in New York. A company may not book three (3) Q&A's in Los Angeles and two (2) Q&A's in New York as that would exceed the aggregate limit of four (4) Q&A's. Q&A's booked in areas outside of Los Angeles and New York do not count towards the four (4) Q&A limit.

- 6. A company may challenge a previously held date only if the challenging company is ready to book (i.e. venue and talent confirmed) once the original hold releases the date.
- 7. A company that successfully challenges and books a particular date may incur a penalty if the booked event is then canceled. Penalties may include, but are not limited to, counting the canceled event as part of a company's maximum Q&A total for that title.
- 8. In addition to the SAG Awards Nominating Committees, companies may screen to the following SAG-AFTRA affiliated groups: SAG Awards "RSVP" Screening Program (made up of past Nom Comm members and paid up, active SAG-AFTRA members who register to receive email invitations to Nominating Committee screenings when seats become available), SAG-AFTRA Foundation Conversation Series, SAG-AFTRA Film Societies, and the SAG-AFTRA Diversity department. Contact SAG Awards for more information. Titles screened to these affiliated groups will not count towards a company's maximum Q&A total.
- 9. SAG Awards Nominating Committee screenings may be shared with other industry voting groups.
- 10. Once nominations are announced, Companies representing a SAG Awards nominated actor, film, television, cable or new media program may hold and book dates and times for Q&A's. There is no restriction on the number of holds in the final phase of voting.
- 11. Events promoting submitted performances to which SAG-AFTRA members are invited must include a screening of the submitted performance(s).

- 12. Screenings with Q&As may only include Q&A participants who are directly associated with the title screened for consideration.
- 13. For your consideration material may be sent to the voting members of SAG-AFTRA. All mailings (language and enclosures) must be approved by SAG Awards in advance and SAG Awards reserves the right to limit, restrict or prohibit mailings. The Awards Office must be contacted to schedule and coordinate all mailings.
- 14. The Awards Office will charge a fee of \$400 per printed or electronic mailing for coordination. This is a separate fee from any postage and handling charges due to the Union mailing house.
- 15. The SAG Awards reserves the right to charge an additional fee for approved screening notifications to members.
- 16. Mailing Requirements:
 - a) All mailings (physical or electronic) must state either: "Paid for by [film company/distributor/actor]," or "Not paid for by SAG-AFTRA."
 - b) Screening/Q&A invitations (physical or electronic) must state: "Please arrive at least 30 minutes early. Screenings are overbooked to compensate for no-shows. RSVPs do not guarantee seating."
 - c) All electronic invitations must be provided to SAG Awards as a Word document (.doc). We allow text copy with images, graphics and title treatments (in .jpg only). We will not accept .pdf files for electronic invitations.
 - d) Screening schedules (defined as three or more screenings) must be mailed via US post through the Union mailing house. We will not email.
 - e) Printed invitations intended to mail via US post may be sent to SAG Awards for approval in the following formats: .pdf, .tiff, .jpg, .png, .eps and .doc.
 - f) Printed invitations mailed through the Union mailing house require plain envelopes with no return address, images or graphics. You may deliver printed pieces to the Union mailing house fulfilled or the mailing house can provide fulfillment for an additional fee.

- g) All screening invitations mailed via US post must be delivered to the Union mailing house no less than seven (7) days prior to the scheduled event.
- h) Reviewer's quotes regarding submitted performances may be included in For Your Consideration materials provided that the quotes are attributable to a legitimate, recognized news organization and do not include negative or disparaging remarks about any actor, film, television or new media program. All quotes must be approved by SAG Awards and are limited to physical mailings only. SAG Awards will not include reviewer's quotes in electronic communications to members.
- i) DVDs packaging may include images, categories, reviewers quotes and title art.
- j) Television programs provided to the Nominating Committee for consideration either by DVD or digitally may include full seasons as long as all episodes fall within the eligible calendar year.
- 17. Any film company intending to provide a screener to the 23rd Annual SAG Awards Nominating Committee ("Nominating Committee") for consideration during the pre-nomination phase must send a physical DVD. If a film is not ready to screen for any voting group by November 20, 2016 the SAG Awards will consider allowing the Nominating Committee to view a digital screener on a secure online platform. Films provided to the Nominating Committee for consideration must be a final cut. The SAG Awards may consider exceptions on a case by case basis.
- 18. Company representatives who have signed a SAG Awards non-disclosure agreement may send coded screeners through outside vendors to those members who have signed and returned the screener agreement.
- 19. SAG Awards reserves the right to limit or prohibit mailings of screeners to minors and/or to require parental consent.
- During the final phase of voting, the SAG Awards will allow DVDs and/or digital screeners via secure online platform for member consideration.

- 21. Any DVD or digital screener provided for consideration must include a closed captioning option.
- 22. Any promotional items, whether mailed to SAG-AFTRA members via the Union mailing house or distributed at events, must be approved by the Awards Office.
- 23. Promotional material mailed to the nominating committee must focus on the submitted project with 90% of the material directly related to the submitted project.
- 24. Any approved promotional material offering free access to For Your Consideration content to the Nominating Committee ("pre-nom") may only be made available to members for up to three months and must expire by the nominations voting deadline.
- 25. Any approved promotional material offering free access to For Your Consideration content to eligible members during the final phase of voting must expire by the final voting deadline.
- 26. Companies may not engage a third-party company or individual to contact SAG-AFTRA members regarding submitted performances.
- 27. Prohibited tactics and activities are not limited to those addressed in these rules and SAG Awards reserves the right to assess penalties for any activity it deems a violation. The Awards Committee shall be the interpreter of the SAG Awards Rules and Regulations with the authority to impose penalties for violations including but not limited to: public reprimand, restriction of SAG Awards show tickets, fines, and the disqualification of performances.
- 28. Petitions with respect to the rules governing campaigning to SAG-AFTRA members may be considered by the Awards Committee. Petitions shall be submitted in writing to the Awards Office detailing the basis for the petitions and the facts upon which the company contends supports its position. Final judgment shall be made by the Awards Committee and determination shall be final and non-reviewable.
- 29. The Awards Office is available to consult on any questions that may arise.

EIGHT MEMBER RULES GOVERNING SCREENERS

- Screeners, including but not limited to, content made available for viewing at screenings, non-coded and/or commercial DVDs, encoded DVDs and digital screeners via secure online platform, may be provided to members for promotional commercial purposes for personal viewing in connection with award consideration and should be destroyed after viewing.
- Company representatives who have signed a SAG Awards non-disclosure agreement may send coded screeners through outside vendors to those members who have signed and returned the screener agreement.
- Members are responsible for the proper safekeeping of their screeners and screeners must not be uploaded to the internet, publicly exhibited, distributed, rented, loaned, sold, reproduced or given to anyone.
- 4. Receipt of screeners does not convey to the recipient any of the underlying intellectual property rights to the films, television or new media programs involved and, unless otherwise indicated, each screener is and shall remain the sole property of the company which supplied it.
- 5. Violations of these rules may constitute grounds for discipline, including expulsion from SAG-AFTRA, and may also result in civil and/or criminal penalties.
- 6. Any violation of the rules or transgression of decorum will be subject to review by the Awards Committee, which has authority to remove members from present and future nominating committees, final voting eligibility, eligibility to receive For Your Consideration materials, and to recommend that a member be brought up on membership discipline charges.

NINE RULES GOVERNING CONFLICTS OF INTEREST

- In order to protect the integrity and reputation of the Screen Actors Guild Awards, as well as to maintain the neutrality of the administration of the SAG Awards nomination and voting process, it is the policy of SAG-AFTRA to prohibit actions that might result in an actual or perceived conflict of interest.
- 2. This policy applies to any individual who is in a position of authority at SAG-AFTRA or SAG Awards and includes, but is not limited to, the members and alternates of the National and Local Boards of Directors, delegates to the SAG-AFTRA Convention, members and alternates of all National and Local Committees and Task Forces, individuals appointed to represent the Union to outside organizations, and all employees of the Union.
- 3. An actual or perceived conflict of interest occurs when someone is or is perceived to be in a position of authority at SAG-AFTRA by which the individual could influence a decision for the benefit of someone other than the Union itself. For example, a conflict of interest may arise when an individual is in a position to use his or her official capacity for his or her personal benefit, or where an individual attempts to influence the outcome of a decision for personal benefit.
- 4. The individuals referenced in this policy are prohibited from participating in a campaign for or on behalf of any actors, productions, or programs eligible for SAG Awards consideration ("eligible performances"). "Campaigning" includes, but is not limited to, activities where individuals accept compensation or something of value in exchange for their efforts to promote an eligible performance.
- 5. Nothing in this policy prohibits an individual from campaigning for or promoting a production or program when he or she is personally involved with the project as an actor, director, producer or the like, whether or not a contractual obligation exists to promote the project.

- 6. Nothing in this policy prohibits an individual from moderating a Question & Answer session for an eligible performance provided that: 1) the individual does not engage in campaigning activity in connection with moderating (i.e., by way of example only and without limitation, an expression of appreciation for excellent performances is appropriate, urging attendees to vote for a project for a SAG Award nomination is not.); and 2) if the session is sponsored or promoted by the SAG Awards or SAG-AFTRA or any related entity, the moderation occurs without compensation or any compensation received for moderating is donated to a SAG-AFTRA-approved charitable organization.
- 7. Nothing in this policy prohibits an individual from reporting on and/or reviewing an eligible performance when he or she is serving in his or her professional capacity as a broadcaster or journalist, provided that the individual does not engage in campaigning activity in connection with reporting and/or reviewing.
- If an individual has any question whether an action or proposed course of conduct would create a conflict of interest, he or she should immediately contact the Awards Office to obtain advice on the issue.
- 9. Any violations of this policy must be brought to the attention of the National Executive Director or his or her designee. If after investigation of the matter it appears a violation of this policy has occurred, the National Executive Director may act, in consultation with the Awards Committee, to remedy the violation or bring the matter to the National Board of Directors for further consideration.

TEN RULES GOVERNING SCREEN ACTORS GUILD LIFE ACHIEVEMENT AWARD

- 1. The National Honors and Tributes Committee will nominate and vote for the recipient of this award.
- 2. Deceased candidates, members of the HAT Committee or the Board will not be considered.
- The National Honors and Tributes Committee's selection must be approved by the President and the National Executive Director of SAG-AFTRA, either of whom may exercise veto rights, which the Committee, if it so chooses, may petition to the National Board.
- 4. The name of the recipient may be revealed in advance of the Screen Actors Guild Awards telecast.
- In the event that the recipient cannot attend the telecast, the award may be omitted from the telecast at the discretion of the Awards Committee.
- 6. The Screen Actors Guild Life Achievement Award, is the property of, and all rights thereto are reserved by, SAG-AFTRA. The statuette may not be reproduced or used in any commercial manner unless otherwise permitted by SAG-AFTRA. Possession of the Life Achievement Award is solely for the honor of the recipient and is restricted to the recipient, the recipient's spouse, the recipient's domestic partner, the recipient's children or SAG-AFTRA conditioned on execution of an agreement. (The recipient's spouse, domestic partner, and children are referred to as "Permitted Donees.") Transfer of the Life Achievement Award to a Permitted Donee shall not be valid unless the Permitted Donee agrees in writing not to transfer the award to any person other than SAG-AFTRA. Any transfer of the Life Achievement Award other than as hereby permitted violates SAG-AFTRA's property rights and is prohibited.



SECTION A

Screen Actors Guild Awards Non-Broadcast Honors General Rules

1. Non-broadcast Screen Actors Guild Awards shall be given for Outstanding Performances in the following categories:

Outstanding Action Performance by a Stunt Ensemble in a Comedy or Drama Series

Outstanding Action Performance by a Stunt Ensemble in a Motion Picture

- Theatrical Motion Pictures and Television, Cable and New Media programs must meet the eligibility requirements of the Screen Actors Guild Awards.
- Rules, Regulations and the calendar for the non-broadcast Screen Actors Guild Awards will meet the same requirements as the televised Screen Actors Guild Awards unless specifically designated below.
- 4. Submission fees for an ensemble will be \$1,200.00. Submissions will be accepted online only and may be authorized by the film or television producer, stunt coordinator, distributor, production company, or network or studio executive representing that title. Ensembles cannot be withdrawn from consideration after the submission deadline.
- 5. Nominations for these two stunt categories will be made by the SAG Awards Theatrical Motion Picture and Television nominating committees, respectively. No more than five performances receiving the highest number of votes in each category on the nominations ballot will become the five nominees for that category, unless there is a tie or ties which make an additional nomination or nominations necessary. The performance receiving the highest number of votes on the final ballots will become the recipient of the award in that category. No write-in votes shall be counted in either nomination or final balloting. In the event a nominated performance is declared ineligible by Screen Actors Guild Awards, it shall be replaced

by the performance which received the next highest number of votes in nominations balloting. Nominations will be announced on Dec. 14, 2016.

- If the Awards Committee deems there are insufficient numbers of submissions in any category, the Awards Committee reserves the right to review that category for nomination eligibility. Any decision made by the Awards Committee shall be final and binding.
- 7. The final balloting for these stunt honors will be voted upon by all active, paid-up members of SAG-AFTRA. The Screen Actors Guild Awards honors for stunts will be announced separately but in conjunction with the Screen Actors Guild Awards telecast.
- 8. All submissions for ensembles must be received by Oct. 24, 2016. The final submission of individual names (and their contact information) for eligibility in a nominated ensemble must be made by Friday, January 6, 2017.
- Any question concerning eligibility or the interpretation of these Rules and Regulations shall be submitted to the Awards Committee whose decision shall be final and binding.
- Producers, studios, networks, distribution entities or stunt coordinators submitting their ensembles for consideration shall provide a DVD, for use by the Awards office, with their submission.
- 11. Section THREE rules apply, unless specifically noted.



SECTION B

Outstanding Action Performance by a Stunt Ensemble in a Comedy or Drama Series

- 1. Submissions for Outstanding Action Performance by a Stunt Ensemble in a Comedy or Drama Series must be received by Oct. 24, 2016. Submissions deemed eligible will be sent to the Television Nominating Committee for consideration. The Television Nominating Committee will nominate no more than five nominees for Outstanding Action Performance by a Stunt Ensemble in a Comedy or Drama Series, unless there is a tie or ties which make an additional nomination or nominations necessary.
- 2. Individual names of the stunt players are not required with the initial submission of the comedy or drama series. If the stunt ensemble is nominated, the names and contact information of the individual stunt players must be provided by the studio, network, distribution entity, production company or stunt coordinator no later than January 6, 2017. The studio will work with stunt coordinator to provide names and verification.
- 3. For an individual stunt player to be eligible, that player must have worked under a stunt contract in three or more episodes on the nominated series in the calendar year.
- 4. The announcement of the Outstanding Action Performance by a Stunt Ensemble in a Comedy or Drama Series will be made on January 29, 2017. The individuals announced as the recipients of the Outstanding Action Performance by a Stunt Ensemble in a Comedy or Drama Series shall each receive a certificate. *The Actor* statuette shall be awarded to up to four (4) individuals from the stunt ensemble as determined by the stunt coordinator.



SECTION C

Outstanding Action Performance by a Stunt Ensemble in a Motion Picture

- 1. Submissions for Outstanding Action Performance by a Stunt Ensemble in a Motion Picture must be received by Oct. 24, 2016. Submissions deemed eligible will be sent to the Theatrical Motion Picture Nominating Committee for consideration. The Theatrical Motion Picture Nominating Committee will nominate no more than five nominees for Outstanding Action Performance by a Stunt Ensemble in a Motion Picture, unless there is a tie or ties which make an additional nomination or nominations necessary.
- 2. Individual names of the stunt players are not required with the initial submission of the Motion Picture. If the Motion Picture is nominated, the names and contact information of the individual stunt players must be provided by the studio, network, or production company or stunt coordinator no later than January 6, 2017. The studio will work with stunt coordinator to provide names and provide verification.
- 3. For an individual stunt player to be eligible, that player must have worked under a stunt contract on the nominated motion picture.
- 4. The announcement of the Outstanding Action Performance by a Stunt Ensemble in a Motion Picture will be made on January 29, 2017. The individuals announced as the recipients of the Outstanding Action Performance by a Stunt Ensemble in a Motion Picture shall each receive a certificate. *The Actor* statuette shall be awarded to up to four (4) individuals from the stunt ensemble as determined by the stunt coordinator.

